

Negotiating Group on Trade Facilitation

**IDENTIFICATION OF TRADE FACILITATION NEEDS AND PRIORITIES
(PARAGRAPH 4 OF THE NEGOTIATING MANDATE)**

SELF-ASSESSMENT QUESTIONNAIRE AND INVENTORY

This document has been prepared under the Secretariat's own responsibility and without prejudice to the positions of Members on the acceptance of a referenced measure in the context of the Trade Facilitation negotiations

I. INTRODUCTION

1. This questionnaire has been prepared by the Secretariat for use by Members in order to assist them individually to assess their Trade Facilitation needs and priorities on a case-by-case basis with reference to the proposals that have been tabled in the Negotiating Group relating to the clarification and improvement of relevant aspects of GATT Articles V, VIII and X. It has been designed to allow each Member to develop an inventory of the proposed facilitation measures that have been implemented already in its territory, to identify gaps where further facilitation measures could be taken, and to identify areas where targeted technical assistance and/or capacity building support is needed and could be requested. If Members were to return the questionnaire results to the Secretariat, the Secretariat could compile them, without attribution to the Member concerned, so as to provide the Negotiating Group as a whole with an overall picture of the needs and priorities in this area.

2. The questionnaire has been drawn up on the basis of the Secretariat's compilation of proposals that have been made by Members in the Negotiating Group (TN/TF/W/43/Rev.1). Questions aim at identifying existing rates of implementation and related technical assistance and capacity building needs in a preliminary fashion and in approximate terms. The description of the measures generally follows the headings of the compilation paper, which should therefore be consulted when seeking additional information on the precise coverage of a given question.¹ Specific proposals with no room for corresponding action at the national level² were excluded as not susceptible to the format of the questionnaire. Some deviations from the compilation's headings were also made where they seemed too short to make the questions fully comprehensible. Here, the questionnaire seeks to provide more clarity by adding further elements of the proposed measures.

¹ When seeking the precise content of "Maintenance of Integrity Among Officials", for instance, the corresponding section in document TN/TF/W/43/Rev.1 should be consulted, clarifying that this measure is proposed to cover (i) the development of codes of conduct for staff of border agencies and (ii) the appointment of officials in charge of providing training to relevant officials.

² Such as the proposal to "Clarify and improve the terminology [of Article V] to reduce perceived uncertainty and avoid loopholes, for example with regard to the definition of goods (including baggage)".

3. This questionnaire is intended to complement more detailed diagnostic tools for assessing needs and priorities that are available from other sources – such as UNCTAD, the World Bank and the World Customs Organization.³ These tools should be consulted by Members who wish to carry out a more comprehensive analysis of their needs and priorities for assistance on trade facilitation issues more generally.

³ These were described in TN/TF/W/5, paragraphs 14-25.

II. QUESTIONNAIRE/INVENTORY

1. Publication of Trade Regulations

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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2. Publication of Penalty Provisions

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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3. Internet Publication of (i) Trade Regulations and (ii) of Requirements for Importing Goods

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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4. Notification of Trade Regulations

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

5. Establishment of Enquiry Points/Single National Focal Points/Information Centers

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

6. Interval between Publication of Trade Regulations and their Entry into Force

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

7. Prior Consultation of Interested Parties/Private Sector on Proposed New Rules and Regulations

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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8. Right of Members/Traders to Comment on Proposed New Rules and Regulations

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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9. Publication of Information on Policy Objectives of a Rule/Procedure

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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10. Provision of Advance Rulings

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

11. Right of Appeal Against Customs and Other Agency Rulings and Decision/Unfair Administration of Trade-related Procedures

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

12. Release of Goods in Event of Appeal

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

13. Uniform Administration of Trade Regulations

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

14. Maintenance of Integrity among Officials

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

15. Publication/Notification of Fees/Charges Connected with Importation or Exportation

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

16. Prohibition of Collection of Unpublished Fees and Charges

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

17. Periodic Review of Fees and Charges

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

18. Automated Payment of Duties and Other Fees and Charges

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

19. Reduction/Minimization of the Number and Diversity of Article VIII-related Fees and Charges

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

20. Non-Discrimination in the Design, Application and Effect of Export and Import Procedures and Formalities Imposed on Goods

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

21. Periodic Review of Formalities and Requirements Connected with Importation and Exportation

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

22. Reduction/Limitation of Formalities and Documentation Requirements Connected with Importation and Exportation

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

23. Use of International Standards to Minimize/Harmonize Import/Export Formalities and Documentation Requirements

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

24. Uniform Customs Code

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

25. Acceptance of Commerciality Available Information and of Copies of Documents

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

26. Automation of Customs and Other Agency Import/Export Procedures

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

27. Single Window / One-Time Submission

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

28. Elimination of Pre-Shipment Inspection

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

29. Phasing-Out Mandatory Use of Customs Brokers

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

30. Prohibition of Consular Transaction Requirement

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

31. Coordination of Activities and Requirements of All Border Agencies

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

32. Pre-Arrival Clearance

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

33. Expedited Procedures for Express Shipments

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

34. Risk Management / Analysis

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

35. Authorized Traders

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

36. Post-Clearance Audit

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

37. Separating Release from Clearance Procedures

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

38. Rapid Release Procedures Based on Submission of Simplified Document and Mechanism for Post-Release Reconciliation of Documentation Duties Payable

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

39. Establishment and Publication of Average Release and Clearance Times

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

40. Possibility of Customs Clearance or Relevant Export Control at an Importer's or Exporter's Premises

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

41. Possibility of Periodical Audit and Duty Payment rather than Duty Payment on the Basis of Individual Transactions

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

42. Objective Criteria for Tariff Classification

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

43. Non-Discrimination between Means of Transport, between Carriers and between Types of Consignment in Relation to Transit Procedures

• Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

• If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

• If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

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44. Publication of Fess and Charges Applied on Goods in Transit and Prohibition of Unpublished Ones

• Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

• If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

• If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

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45. Periodic Review of Fees and Charges Applied on Goods in Transit

• Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

• If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

• If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

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46. Effective Disciplines on Charges for Transit

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

47. Periodic Exchange between Neighbouring Authorities to Discuss New Fees and Charges in Connection with Transit

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

48. Periodic Review of Transit Formalities and Documentation Requirements

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

49. Reduction/Simplification of Transit Formalities and Documentation Requirements

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

50. Use of International Standards Relating to Goods in Transit

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

51. Promotion of Regional Transit Arrangements

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No
- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

52. Simplified and Preferential Clearance for Certain Goods

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No
- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

53. Limitation of Inspection and Controls of Transiting Goods

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

54. Sealing

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

55. Coordination of Documentation Requirements among Authorities Involved in Transit

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?

56. Monitoring of Transit Arrangements to Ensure Actual Implementation

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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57. Use of Bonded Transport Regimes/Guarantees

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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58. Cooperation and Coordination amongst Agencies on Transit Matters

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
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59. Cooperation and Coordination between Authorities and the Private Sector on Transit Matters

- Has such a measure already been implemented in your country/customs territory?

Yes, fully	Yes, partially (specify)	No

- If the measure has not (or only partially) been implemented, would you require technical assistance/capacity building-support for its implementation?

Yes	No

- If that is the case what would you require for its implementation in terms of technical assistance and capacity building?
